

United States Bankruptcy Court
Western District Of Pennsylvania

In re James Vincent Sullivan,
Debtor

**SUBPOENA IN A CASE UNDER
THE BANKRUPTCY CODE**

Case No.¹ 04-76174-PWB GANB

To: PNC Bank, NA
500 First Avenue
Pittsburgh, PA 15219

Chapter 7

☐ YOU ARE COMMANDED to appear in the United States Bankruptcy Court at the place, date and time specified below to testify in the above case.

PLACE OF TESTIMONY

COURTROOM

DATE AND TIME

☐ YOU ARE COMMANDED to appear at the place, date, and time specified below to testify at the taking of a deposition in the above case.

PLACE OF DEPOSITION

DATE AND TIME

☒ YOU ARE COMMANDED to produce and permit inspection and copying of the following documents or objects at the place, date, and time specified below (list documents or objects):

See Exhibit "A" attached hereto.

PLACE

Attn: Stephen T. La Briola c/o William Wycoff, Thorp Reed & Armstrong, LLP
One Oxford Center, 301 Grant Street, 14th Floor, Pittsburgh, Pa 15219-1425

DATE AND TIME

September 14, 2006 10:00 a.m.


☐ YOU ARE COMMANDED to permit inspection of the following premises at the date and time specified below.

PREMISES

DATE AND TIME

Any organization not a party to this proceeding that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and may set forth, for each person designated, the matters on which the person will testify, Fed. R. Civ. P. 30(b)(6) made applicable in proceedings by Rule 7030, Fed. R. Bankr. P. See Rules 1018 and 9014, Fed. R. Bankr. P.

ISSUING OFFICER SIGNATURE AND TITLE


Co-Special Counsel to Trustee

DATE

8/28/06

ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBER

Fellows, Johnson & La Briola, LLP
225 Peachtree Street, NE, Suite 2300 South Tower, Atlanta, GA 30303

¹ If the bankruptcy case is pending in a district other than the district in which the subpoena is issued, state the district under the case number.

PROOF OF SERVICE

DATE

PLACE

SERVED

SERVED ON (PRINT NAME)

MANNER OF SERVICE

SERVED BY (PRINT NAME)

TITLE

DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on

DATE

SIGNATURE OF SERVER

ADDRESS OF SERVER

Rule 45, Fed. R. Civ. P., Parts (c) & (d) made applicable in cases under the Bankruptcy Code by Rule 9016, Fed. R. Bankr. P.

(c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.

(1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost earnings and a reasonable attorney's fee.

(2)(A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.

(B) Subject to paragraph (d)(2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of the subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy the materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.

(3)(A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

- (i) fails to allow reasonable time for compliance;
- (ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except

that, subject to the provisions of clause (c)(3)(B)(iii) of this rule, such a person may in order to attend trial be commanded to travel from any such place within the state in which the trial is held, or

- (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or
- (iv) subjects a person to undue burden.

(B) If a subpoena

- (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
- (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or
- (iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

(1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.

(2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

Exhibit "A"

1. Definitions

a. "PNC Bank" shall refer to the current national association PNC Bank, NA as well as all other entities which PNC Bank, NA has succeeded through acquisition, merger or by any other means.

b. The term "document" or "documents" shall mean, unless otherwise indicated the original, if available, or an identical and legible copy thereof, and all non-identical copies, whether different from the original by reason of notations made on such copies or otherwise, of every writing and record of any type or description, including, without limitation, any written, typed, printed, photocopied, computerized, photographic or tape recorded, stored or reproduced including, but not limited to, all video tapes, audio tapes, letters, correspondence, electronic mail or "E" mail, books, periodicals, contracts, studies, plans, analyses, work papers, press releases, reports, records or notes of any meetings, conferences, telephone calls or other conversations, telegrams, paper communications, tabulations, charts, memoranda, handwritten notes, charts, drafts, records, memoranda or transcriptions by a mechanical device, by longhand or shorthand recording, tape recorder or by any other means, inter-office communications, microfilm, lists, bulletins, calendars, circulars, desk pads, ledgers, minutes, journals, diaries, invoices, balance sheets, profit and loss statements, pamphlets, studies, notices, summaries, reports, analyses, teletype messages, work sheets, computerized data bases(s), and all other graphic materials, writings and instruments, including any and all drafts, attachments, and enclosures to each and every such document. Said definition shall include, inter alia, recordings, transcripts, and/or summaries of oral communications, telephonic or otherwise.

c. The phrase "all documents" shall mean each and every document within a stated category, known to you, and/or documents reasonably subject to identification by you, and/or documents which can be located on premises owned by you and/or elsewhere, and/or, and/or documents in the possession of each individual who acts, or who has acted at relevant times, as your employee, agent or representative, and/or documents located on premises other than your premises, and any entities under Deponent's control. Information sought from you shall include information within the knowledge or possession of your agents and employees, attorneys, accountants, investigators (including investigators from your attorneys), and any other persons or entities directly or indirectly subject to your control in any way.

2. Documents to be Produced

1. Any and all documents in your possession which refer to, relate to, evidence or reflect the transfer of funds on July 28, 1993 shown as "federal funds paid PNC Intl NYC" which is highlighted on the Prudential Securities account statement attached hereto as Exhibit "1";

2. Any and all documents, including but not limited to, account statements, wire transfer records, deposit records and withdrawal records for the PNC Bank

account into which the above-referenced transfer was made, and any documents which reflect the name of the account holder and the account number; and

3. Any and all documents, including but not limited to, account statements, wire transfer records, deposit records and withdrawal records, which reflect any assets of James Vincent Sullivan at any time beginning January 16, 1987 until the present.

06/93

SECURITIES ACCOUNT STATEMENT

For the Period: Jul 1 - Jul 31, 1993 Account Number: 034-326819-44 Page 1 of 2

Your Financial Advisor:
DREN MAYER
50 COCONUT ROM
SUITE 200
PALM BEACH, FL 33480-4095
407-820-0428

Your Federal ID Number: 029-30-4834

JAMES V SULLIVAN
ACCOUNT #2
744-2 ME 12TH TERR
BOYNTON BEACH FL 33435

Net Worth

MONEY MARKET FUNDS
CASH BALANCE

TOTAL NET WORTH

Income & Distributions

MONEY FUND DIVIDENDS
INTEREST
MUNICIPAL INTEREST

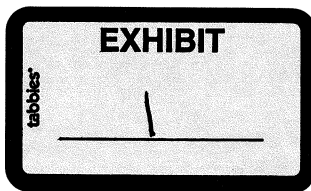
TOTAL INCOME

DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE/ENTRY	AMOUNT CHARGED	AMOUNT CREDITED
07/26	Purchased	750,000	PRUDENTIAL MONEYMART ASSETS FUND	1.00	\$750,000.00	
07/26	Dividend	3,525	PRU MONEYMART DIV REINV 06/28 - 07/25	Money Fund		\$59,929.00
07/26	Credit		LOA FROM 34 817979			\$690,071.00
07/27	Sold	-690,071	PRUDENTIAL MONEYMART ASSETS FUND	1.00		
07/27	Credit		AUTO REDEMPTION			\$750,000.00
07/28	Debit		FEDERAL FUNDS RCVD			
07/29	Sold	-1,780,000	FEDERAL FUNDS PAID PNC INTL NYC	1.00	\$2,530,000.00	
			PRUDENTIAL MONEYMART ASSETS FUND			\$1,780,000.00
			AUTO REDEMPTION AS OF 07-28-93			

DESCRIPTION QUANTITY PRICE VALUE ANNUAL INCOME COMMENTS

BROWARD CNTY FLA HSG FIN AUTH REV DEF
INT CTF-HSG DEV-NOVA PK PJ FRACTIONAL
RD 0.000 04/01/1999 DTD 04/22/92
PRICED SECURITIES VALUE

PRUDENTIAL MONEYMART ASSETS FUND 3,412 1,000 \$3,412.00 \$88.37 2.59 % YIELD



06/93

SECURITIES ACCOUNT STATEMENT

Page 2 of 2

Account Number: 03N-326819-44

Jul 1 - Jul 31, 1993

JAMES V SULLIVAN

DESCRIPTION	QUANTITY	PRICE	VALUE	ESTIMATED ANNUAL INCOME	COMMENTS
MONEY MARKET FUNDS			\$3,412.00	\$88.37	

Portfolio

DO "SMALL CAP" STOCKS HAVE A LOGICAL PLACE IN YOUR INVESTMENT PORTFOLIO? OUR MONTHLY REPORT ENTITLED "SMALL CAP FUND TRENDS" COULD PROVIDE THE ANSWER. ASK YOUR FINANCIAL ADVISOR FOR A FREE COPY OF THE CURRENT ISSUE.